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## 4.9 GHz Issue Brief

### **SUMMARY**

The Federal Communications Commission (FCC, Commission) is considering expanding eligibility to utilities and other critical infrastructure industries as one way to encourage more effective use of the 4.9 GHz band (4940-4990 MHz) of the radio portion of the electromagnetic spectrum. This band is currently allocated to public safety exclusively, but is only lightly used by those entities.

The radio portion of the electro-magnetic spectrum is needed to enable wireless applications for utilities, public safety, and telecommunications providers, among others. This radio spectrum is subdivided into various bands (measured by hertz) that have different properties.

Electric utilities use spectrum as part of their Information and Communications Technology (ICT) networks which underpin their transmission and distribution systems. These networks are essential for day-to-day reliability, situational awareness storm response and recovery, grid modernization, and cybersecurity. Additionally, utility ICT networks are the central piece of the industry's transition to "Utility 2.0," a more responsive, resilient, and interactive utility system.

### **UTC POSITION**

The Utilities Technology Council (UTC) supports the FCC's proposal to expand access to the band and has worked with the National Public Safety Telecommunications Council (NPSTC) to develop a proposed plan which would include utilities as eligible entities to hold licenses. UTC believes that expanding

eligibility to include utilities and other critical infrastructure entities will improve emergency response and partnerships between utilities and public safety.

This proceeding dates back to October 2013, when the FCC released a Public Notice seeking comment on the NPSTC's 4.9 GHz National Plan Recommendations Final Report ("the band plan"). In the report, NPSTC recommended the allowance of direct licensing by the critical infrastructure industry (CII), including energy and water utilities. UTC supported the band plan and echoed NPSTC's support for expanded eligibility to include utilities and other CII.

The FCC released a proposed rule on this issue in March 2018, and UTC filed comments in support of the plan. The Commission has taken no action since taking comments on its proposal.

### **BACKGROUND**

The FCC first allocated the 4.9 GHz band for exclusive use by public safety in 2002, and at the time it was anticipated that the band would be used for "hot-spot" communications on a temporary basis during emergencies. The Commission noted that the band's proximity to some of the unlicensed bands would allow public safety to leverage the equipment in those bands for use in the 4.9 GHz range. The Commission adopted service rules for the band permitting it to be lightly licensed and coordinated by Regional Planning Committees (RPCs).

Because the band is lightly used, the Commission launched a proceeding in 2007 to

make more effective use of it. UTC supports the idea of giving utilities and other CII eligibility to hold licenses in the band. Throughout the last several years, UTC has worked with NPSTC and other public safety organizations to gather support for the inclusion of utilities and CII as eligible entities to use the 4.9 GHz band. Along with UTC, these organizations developed and submitted a plan to the FCC.

The NPSTC report advocates for the ability of utilities to apply for 10 MHz (5x5 MHz) of spectrum (channels 6 and 7) as soon as the FCC adopts rules for the band, and that utilities should also be able to apply for licenses in the remainder of the band, subject to a 30-day notice period.

### **SITUATIONAL AWARENESS**

UTC believes that sharing the 4.9 GHz spectrum is an opportunity for utilities to deploy fixed point-to-point connectivity in a band that is lightly used in order to support their private utility networks that enhance the operational efficiency, safety and reliability of the electricity system. The process of sharing the band with public safety and the potential for using up to 50 MHz of licensed spectrum will promote partnerships between utilities and public safety that will create synergies as well as more effective use of the band.

Utilities would use the 4.9 GHz band for numerous mission-critical operations, including communications backhaul, SCADA applications, advanced metering, distributed generation management, protective relaying, and much more.

The FCC has taken comments on a further rulemaking, but has yet to issue a final rule. UTC urges the Commission to move forward with its proposal while also keeping the rules simple to make spectrum availability in the band more effective.

### **ABOUT UTC**

The Utilities Technology Council (UTC) is a global trade association dedicated to serving critical infrastructure providers. Through advocacy, education and collaboration, UTC creates a favorable business, regulatory and technological environment for companies that own, manage or provide critical telecommunications systems in support of their core business.

History: UTC was founded in 1948, to advocate for the allocation of additional radio spectrum for power utilities. Over the last 70 years, UTC has evolved into a dynamic organization that represents electric, gas and water utilities, as well as natural gas pipelines, critical infrastructure companies and other industry stakeholders.

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