



## 406-420 MHz Band Issue Brief

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### SUMMARY

The radio portion of the electromagnetic spectrum is needed to enable wireless applications for utilities, public safety, and telecommunications providers, among others. This radio spectrum is subdivided into various “bands” (measured by “hertz”) that have different properties. Utilities often operate their own “private” communications networks (which, in this case, means networks not operated by telecommunications providers, typically to ensure optimal reliability for critical infrastructure sectors such as utilities). Such utilities have, in some cases, purchased or otherwise gained access to certain bands of spectrum to enable wireless applications.

The 406-420 MHz (megahertz) band appears to be suitable to meet electric, gas, and water utility spectrum requirements, because it has sufficient capacity and would provide favorable coverage for utilities to communicate over wide areas. In addition, it is roughly the same frequency range as many existing utility ultra-high frequency (UHF) systems, such that the infrastructure from those systems could be leveraged to support a broadband communications network using this spectrum. Finally, the band is supported by wireless several standards, including LTE (long-term evolution) and TETRA (terrestrial trunked radio, a professional mobile radio and two-way transceiver specification).

### BACKGROUND

The 406-420 MHz band is currently allocated for use by federal government agencies, and it was identified in a recent report by the President's Council of Advisors on Science and Technology (PCAST) as a potential band that would be suitable for spectrum sharing by other non-governmental radiofrequency operations.<sup>(1)</sup> It was also the subject of a spectrum sharing test bed, which included studies of the use of the spectrum by federal government users. The tests by the National Telecommunications and Information Administration (NTIA) in 2007 showed that the spectrum band was only being used 3-5 percent during the busiest times of the day in Washington, D.C., which was the most heavily used geographic part of the country for this band. As such, it appears to be lightly used and could be shared with utilities.

There is equipment that is commercially available that would work in the 406-420 MHz band, and standards efforts are underway in Europe and South America to use the 400 MHz band for public protection and disaster relief (PPDR) and the 400 MHz PMR (professional mobile radio) frequencies. The European Utilities Telecom Council (EUTC) and UTC America Latina (UTC AL) are involved in these efforts to promote the use of the 400 MHz band by utilities. There is LTE equipment and TETRA equipment that is commercially available for use in the 400 MHz band in Europe, and UTC believes that this equipment could be used in the 406-420 MHz band in the U.S., as well.

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(1)"Report to the President, Realizing the Full Potential of Government-Held Spectrum to Spur Economic Growth", Executive Office of the President, President's Council of Advisors on Science and Technology (Jul. 2012), visited at [https://www.whitehouse.gov/sites/default/files/microsites/ostp/pcast\\_spectrum\\_report\\_final\\_july\\_20\\_2012.pdf](https://www.whitehouse.gov/sites/default/files/microsites/ostp/pcast_spectrum_report_final_july_20_2012.pdf)

## UTC POSITION

Utilities are proposing to share 406-420 MHz spectrum with federal government users. The Utilities Technology Council (UTC) emphasizes that it proposes to share this spectrum, not reallocate it on a dedicated basis. Sharing will enable spectrum use more quickly than reallocating it, and it will make more efficient use of the spectrum by both utilities and federal government users. In addition, it will avoid disruption of federal government incumbents that would otherwise be relocated, if the spectrum was reallocated.

Utilities would use this spectrum primarily for data and field force management voice communications. While each utility would have its unique communications requirements, depending on a variety of factors, including the types of applications supported and the territory to be served, UTC believes that utilities would use the spectrum nationwide in order to promote interoperability during mutual aid, and to enable economies of scale that would reduce the cost of equipment in the band.

One of the principal attributes of the 406-420 MHz band is the similar propagation characteristics as existing utility UHF systems, such that utilities could leverage existing infrastructure for towers and backhaul to these existing sites. By leveraging this existing infrastructure, utilities could accelerate deployment of the network while keeping costs down. UTC notes that this spectrum is currently used by federal utilities like the Tennessee Valley Authority (TVA) and Bonneville Power Authority (BPA), thereby promoting interoperability and greater efficiencies across the utility industry.

In addition to leveraging existing infrastructure, utilities could leverage existing equipment that is both commercially available and standardized. This would promote economies of scale that would reduce deployment costs. In addition, these economies of scale would be expanded if the 406-420 MHz band is made available internationally for use by utilities and others entities for mission critical communications. Therefore, UTC is involved in various international spectrum allocation efforts to promote the use of the band by utilities and others for mission critical communications.

## ABOUT UTC

The Utilities Technology Council (UTC) is a global trade association dedicated to serving critical infrastructure providers. Through advocacy, education and collaboration, UTC creates a favorable business, regulatory and technological environment for companies that own, manage or provide critical telecommunications systems in support of their core business.

History: UTC was founded in 1948, to advocate for the allocation of additional radio spectrum for power utilities. Over the last 68 years, UTC has evolved into a dynamic organization that represents electric, gas and water utilities, as well as natural gas pipelines, critical infrastructure companies and other industry stakeholders.

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